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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/015,390
Filing Date	December 12, 2001
First Named Inventor	David BOTSTEIN
Group/Art Unit	1637
Examiner Name	Fredman, Jeffrey Norman
Attorney Docket Number	39780-2830 P1C53

Total Number of Pages in This Submission

15

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Response

☐ After Final

☐ Version With Markings Showing
Changes

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete
Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Copy of Notice

☒ REQUEST FOR CORRECTED FILING
RECEIPT

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, by Assignee to
Exclusion of Inventor Under 37 C.F.R.
§3.71 With Revocation of Prior Powers

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ ADDITIONAL ENCLOSURE(S)
(PLEASE IDENTIFY BELOW):

☒ Copy of the Preliminary
Amendment as filed; Copy of the
Updated Filing Receipt received;
and a Return Receipt Postcard

Remarks

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN
CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-
2830P1C53.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or
Individual name

HELLER EHRMAN LLP

Anna L. Barry (Reg. No., 51,436)

275 Middlefield Road, Menlo Park, California 94025

Telephone: (650) 324-7000

Facsimile: (650) 324-0638

Signature

Date

APRIL 27, 2005

Customer Number:

35489

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1450, on this date: APRIL 27, 2005

Express Mail Label EL 993 639 552 US

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L. ACOSTA

Signature

Date

APRIL 27, 2005

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04-29-05

AF/EFW
Rings
AF
1437**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: David BOTSTEIN, et al. Docket No.: 39780-2830 P1C53
Serial No.: 10/015,390 Group Art Unit: 1637
Filing Date: December 12, 2001 Examiner: Jeffrey Norman
Fredman
For: **Secreted and Transmembrane Polypeptides and Nucleic Acids
Encoding the Same**

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

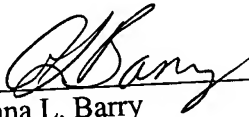
--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which claims priority under 35 USC §119 to US Provisional Application 60/162,506 filed 10/29/1999, where PCT/US00/04342 is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/100,661 filed 9/16/1998.--

CERTIFICATE OF EXPRESS MAILING			
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Express Mail Label: EL 993 639 552 US			
Typed or printed name	Leilani Acosta		
Signature		Date	4/27/05

Issuance of a Corrected Filing Receipt is respectfully requested. The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830P1C53).

Dated: April 27, 2005

Respectfully submitted,


Anna L. Barry
Reg. No. 51,436

HELLER EHRMAN LLP
Customer No. 09157/35489
275 Middlefield Road
Menlo Park, CA 94025
Tel: (650) 324-7000
Fax: (650) 324-0638



In Re Application of: Kevin P. Baker et al.

Serial No: 10/015,390

Docket No: P2830P1C53

Filed on: December 12, 2001

By: Elizabeth M. Barnes

Mailed on: August 29, 2002

Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped.

- ☒ Preliminary Amendment
- ☒ Post card



Patent Docket P2830P1C53

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kevin P. Baker et al. Serial No.: 10/015390 Filed: 12/12/2001	Group Art Unit: Not yet assigned Examiner: Not yet assigned CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on August 29, 2002 <i>Patricia Terrill</i> Patricia Terrill
--	---

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

In the specification:

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

--RELATED APPLICATIONS

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which claims priority under 35 USC §119 to US Provisional Application 60/162506 filed 10/29/1999, where PCT/US00/04342 is a continuation-in-part of,

Patent Docket: P2830P1033
Serial No.: 10/015390
Filed: 12/12/2001

and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned,
which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed
9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/100661 filed
9/16/1998.--

Patent Docket: P2830P1C33
Serial No.: 10/015390
Filed: 12/12/2001

REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,
GENENTECH, INC.

Date: August 29, 2002

By: Elizabeth M. Barnes
Elizabeth M. Barnes, Ph. D.
Reg. No. 35,059
Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

Patent Docket: P2830P1033
Serial No.: 10/015390
Filed: 12/12/2001

VERSION WITH MARKINGS TO SHOW CHANGES MADE

In the specification:

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.



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UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/015,390	12/12/2001	1637	740	39780-2830.053 US	249	20	3

35489
HELLER EHRMAN WHITE & MCAULIFFE LLP
275 MIDDLEFIELD ROAD
MENLO PARK, CO 94025-3506



RECEIVED

NOV 08 2004

HELLER EHRMAN

CONFIRMATION NO. 9959
CORRECTED FILING RECEIPT
OC000000014281327
OC000000014281327

Date Mailed: 11/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Botstein, Belmont, CA;
Audrey Goddard, San Francisco, CA;
Paul J. Godowski, Hillsborough, CA;
Austin L. Gurney, Belmont, CA;
Margaret Ann Roy, San Francisco, CA;
Colin K. Watanabe, Moraga, CA;
William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.

Power of Attorney: The patent practitioners associated with Customer Number 35489.

Domestic Priority data as claimed by applicant

This application is a CON of 09/946,374 09/04/2001
and claims benefit of 60/098,716 09/01/1998
and claims benefit of 60/098,723 09/01/1998
and claims benefit of 60/098,749 09/01/1998
and claims benefit of 60/098,750 09/01/1998
and claims benefit of 60/098,803 09/02/1998
and claims benefit of 60/098,821 09/02/1998
and claims benefit of 60/098,843 09/02/1998
and claims benefit of 60/099,536 09/09/1998
and claims benefit of 60/099,596 09/09/1998
and claims benefit of 60/099,598 09/09/1998
and claims benefit of 60/099,602 09/09/1998
and claims benefit of 60/099,642 09/09/1998
and claims benefit of 60/099,741 09/10/1998
and claims benefit of 60/099,754 09/10/1998
and claims benefit of 60/099,763 09/10/1998
and claims benefit of 60/099,792 09/10/1998
and claims benefit of 60/099,808 09/10/1998

SEE PRELIMINARY
AMENDMENT
FILED 8/29/2002
ENCLOSED

DOCKETED

and claims benefit of 60/099,812 09/10/1998
and claims benefit of 60/099,815 09/10/1998
and claims benefit of 60/099,816 09/10/1998
and claims benefit of 60/100,385 09/15/1998
and claims benefit of 60/100,388 09/15/1998
and claims benefit of 60/100,390 09/15/1998
and claims benefit of 60/100,584 09/16/1998
and claims benefit of 60/100,627 09/16/1998
and claims benefit of 60/100,661 09/16/1998
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and claims benefit of 60/102,207 09/29/1998
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and claims benefit of 60/102,307 09/29/1998
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and claims benefit of 60/105,000 10/20/1998
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and claims benefit of 60/105,104 10/21/1998
and claims benefit of 60/105,169 10/22/1998
and claims benefit of 60/105,266 10/22/1998

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 and claims benefit of 60/106,464 10/30/1998
 and claims benefit of 60/106,856 11/03/1998
 This appln claims benefit of 60/106,902 11/03/1998
 and claims benefit of 60/106,905 11/03/1998
 and claims benefit of 60/106,919 11/03/1998
 and claims benefit of 60/106,932 11/03/1998
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 and claims benefit of 60/108,925 11/17/1998
 and claims benefit of 60/113,296 12/22/1998
 and claims benefit of 60/114,223 12/30/1998
 and claims benefit of 60/129,674 04/16/1999
 and claims benefit of 60/141,037 06/23/1999
 and claims benefit of 60/144,758 07/20/1999
 and claims benefit of 60/145,698 07/26/1999

Foreign Applications

UNITED STATES OF AMERICA PCT/US99/00106 01/05/1999
 UNITED STATES OF AMERICA PCT/US99/20111 09/01/1999
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 UNITED STATES OF AMERICA PCT/US99/28313 11/30/1999
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 UNITED STATES OF AMERICA PCT/US00/03565 02/11/2000
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 UNITED STATES OF AMERICA PCT/US00/15264 06/02/2000

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~~UNITED STATES OF AMERICA PCT/US01/21066 06/29/2001~~
~~UNITED STATES OF AMERICA PCT/US01/21735 07/09/2001~~

If Required, Foreign Filing License Granted: 05/17/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is
US10/015,390

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

435

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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NOT GRANTED

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